

IFW

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/751,204	
	Filing Date	January 2, 2004	
	First Named Inventor	FUWAUSA, Michelle J	
	Art Unit	2652	
	Examiner Name	CAO, Allen T	
Total Number of Pages in This Submission	190	Attorney Docket Number	3715-029

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return receipt postcard;
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks Customer No 22440 Confirmation No 3392	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	WEISZ, Tiberiu Reg No 29,876
Signature	
Date	September 26, 2005

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Mail Stop AMENDMENT			
Typed or printed name	HUNTER, Belinda J		
Signature		Date	September 26, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First-Named Inventor : FUWAUSA, Michelle J
Serial No. : 10/751,204
Filing Date : January 2, 2004
Title : ILLUMINATED DEVICES USING UV-LED's
Examiner : CAO, Allen T
Group Art Unit : 2652
Customer No. : 22440
Confirmation No. : 3392

Mail Stop **AMENDMENT**
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAIL UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class mail service in a post-paid envelope addressed to:
Mail Stop AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on 9/26/2005.

Belinda J. Hunter
HUNTER Belinda J

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.56, 1.97 AND 1.98

S I R :

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97, and 1.98, applicant submits herewith an *Information Disclosure Statement* along with (14) cited references listed on the attached substitute form PTO-1449.

Inasmuch as the present application has not yet received a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3), this information is believed to be timely filed. Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

Thus, it is believed that the \$180 fee normally required by 37 CFR §1.17(p) for filing this *Information Disclosure Statement* is not now due. However, if it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: September 26, 2005
New York, New York

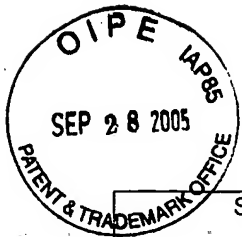
Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC
Attorneys for Applicant
270 Madison Avenue
New York, New York 10016-0601
Telephone: 212/684-3900
Telefax: 212/684-3999

By: 

WEISZ, Tiberiu
Reg. No. 29,876

S:\belinda\applic\ids\luminary\371529.doc



Substitute for form PTO-1449		Application Number: 10/751,204
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date: January 2, 2004
		First-Named Inventor: FUWAUSA, Michelle J
		Group Art Unit: 2652
		Examiner Name: CAO, Allen T
Sheet: 1 of 2	Attorney Docket No: 3715-029	

US PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number with kind code if known	Publication Date MM-DD-YYYY	Name of Patentee/Applicant	Comments on Relevance
	A	US- 4,561,042	12.24.1985	Wehner, et al	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	B	US- 4,899,453	02.13.1990	Bhat, et al	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	C	US- 5,408,296 A	04.18.1995	Okutsu, et al	See Int'l Search Report; See PCT Written Opinion
	D	US- 5,477,430 A	12.1995	La Rose	See Office Action in related US Re-Exam 90/007,030 dated 06.10.2005
	E	US- 5,703,837 A	12.30.1997	Umemoto, et al	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	F	US- 5,899,553 A	05.04.1999	Howell	See Office Action in related US Re-Exam 90/007,030 dated 06.10.2005
	G	US- 6,106,127 A	08.22.2000	Fuwausa	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	H	US- 6,145,209 A	11.14.2000	Chang	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	I	US- 6,299,321 B1	10.09.2001	Fuwausa	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	J	US- 6,575,593 B2	06.10.2003	Krietzman	See Office Action in related US Re-Exam 90/007,030 dated 06.10.2005

Examiner Signature:	Date Considered:
---------------------	------------------

Substitute for form PTO-1449		Application Number: 10/751,204	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date: January 2, 2004	
		First-Named Inventor: FUWAUSA, Michelle J	
		Group Art Unit: 2652	
		Examiner Name: CAO, Allen T	
Sheet: 2 of 2		Attorney Docket No: 3715-029	

OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information	T ²
	K	International Search Report dated Mar 1, 2005; from corresponding Application No. PCT/US04/13022 filed April 28, 2004 and published on Nov 11, 2004 as Publication No. WO 2004/097290; Applicant/Inventor: Michelle J. FUWAUSA;	
	L	International Written Opinion dated Mar 1, 2005; from corresponding Application No. PCT/US04/13022 filed April 28, 2004 and published on Nov 11, 2004 as Publication No. WO 2004/097290; Applicant/Inventor: Michelle J. FUWAUSA;	
	M	Office Action dated July 23, 2004 in related US Re-Exam 90/007,030; Inventor: Fuwauusa	
	N	Office Action dated June 10, 2005 in related US Re-Exam 90/007,030; Inventor: Fuwauusa	

Examiner Signature:	Date Considered:
------------------------	---------------------